## 5. Optical Memory

- 5.1 Overview
- 5.2 History
- 5.3 Fundamentals
- 5.4 Laser Vision
- 5.5 CD-DA: Compact Disc Digital Audio
- 5.6 CD-ROM: Compact Disc Read Only Memory
- 5.7 CD-ROM/XA: CD-ROM Extended Architecture
- 5.8 Further CD-ROM-based Developments
- 5.9 CD-WO: Compact Disc Write Once
- 5.10 CD-MO: Compact Disc Magneto Optical
- 5.11 DVD: Digital Video Disk

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5-1

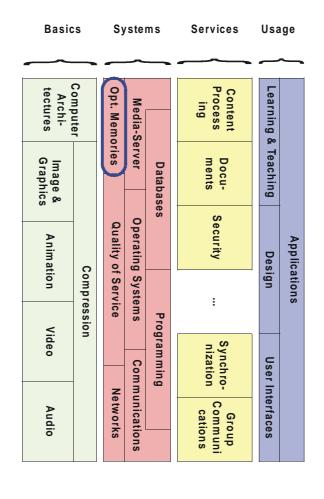
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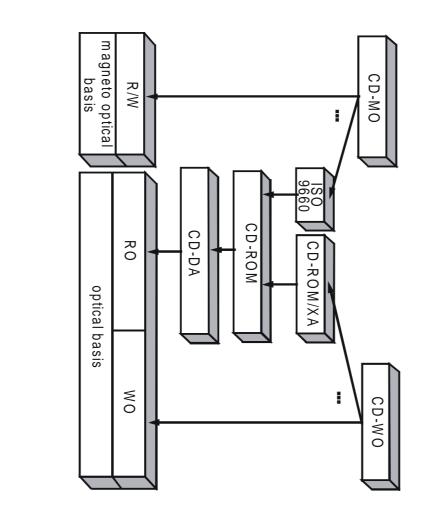
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#### Where We Are



### 5.1 Overview

## **Compact Disc Development**



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### 5.2 History

- 1973 Video Long Play (VLP) published
- 1983 Compact Disc Digital Audio (CD-DA) available: the Red Book standard
- 1985 Compact Disc Read Only Memory (CD-ROM):
- Yellow Book standard for physical format
- High Sierra Proposal
- ISO 9660 standard for logical file format
- 1986 Compact Disc Interactive (CD-I)
  announcement: the Green Book standard
- 1987 Digital Video Interactive (DVI): first presentation
- 1988 CD-ROM Extended Architecture (CD-ROM-XA) announcement
- 1990 CD Write Once (CD-WO), CD Magneto-Optical (CD-MO):
- the Orange Book standard

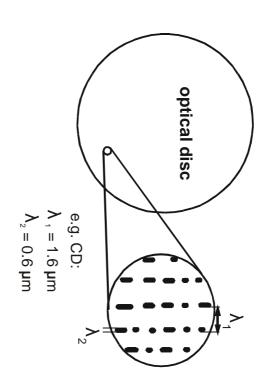
**Digital Video Disk (DVD)** 

1996

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## 5.3 Fundamentals

#### **Pits and Lands**



# Information is stored in a spiral-shaped track:

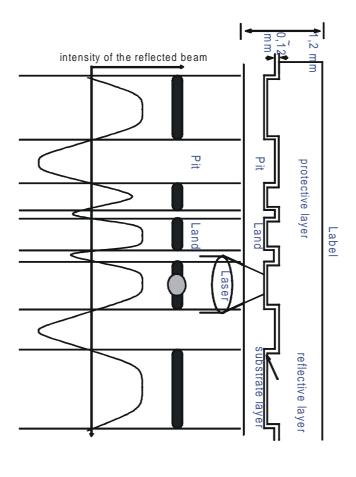
- Series of pits and lands in substrate layer
- Transition from pit to land and from land to pit: '1'
- Between transitions: sequence of '0' s
- 16000 turns/inch (tpi)

# Reading: Laser focused onto reflective layer

- Lands almost totally reflecting the light
- Pits scattering the light

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#### Reading Data



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# **Advantages of Optical Storage Media**

#### High data density

- 1.66 data bits/µm of track
- Inter-track density: 16000 tpi; compare diskette at 96 tpi

#### Long term storage

- Insensitive to magnetic/electric interference
- Insensitive to dust, scratches

## Low probability of head crashes

Distance between head and substrate surface > 1 mm

### Adequate error correction

allows handling of many defects

#### Perception quality

 e.g., each digital music disc is exactly equivalent to the master

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## 5.4 Laser Vision

An important precursor of the audio CD.

### **Laser Disk Characteristics**

- Diameter: ~ 30 cm
- Storage of video and audio
- Analog encoding
- High quality of reproduced data
- Storage capacity: ~ 2.6 GBytes

#### History

- Originally called Video Long Play (VLP)
- 1973 first description in the Philips Technical Review journal

#### Principles

- Mix of audio and video
- Frequency modulation
- No quantization of pit length

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# 5.5 CD-DA: Compact Disc Digital Audio

#### Goal

Storage of audio data

#### History

- Development of basic technology by Philips
- Cooperation of Philips N.V. and Sony Corporation
- 1983: CD-DA players and disks available in the market

### Physical characteristics

- Diameter: 120 mm
- rotations/s depends on the position of the head
- Track shape: one spiral with approx. 20000 turns (LP: 850 turns)

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### **CD-DA: Characteristics**

#### Audio data rate

- Sampling frequency: 44,100 Hz
- Quantization: 16 bits
- Pulse code modulation (PCM)
- Audio data rate = 1,411,200 bit/s = (~ 1.4 Mbit/s)

#### Quality

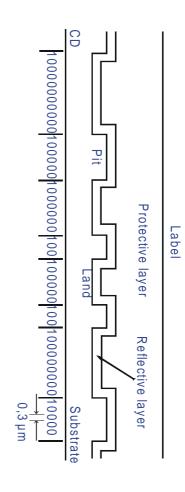
- Signal to noise ratio (S/N): ~ 6 dB/bit, 16 bit quantization => S/N exactly 98 dB
- Compare LP, tape: S/N 50-60 dB

# Capacity (without error correction data)

- Playback time: maximal 74 min
- Raw capacity =74 min x 1,411,200 bit/s = 6265728000 bit ~ 747 Mbyte

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### **CD-DA: Pits and Lands**



Length of pits and lands: multiples of 0.3 µm

#### **Bit Encoding**

- Transition from pit to land or from land to pit encodes a '1'
- Between two transitions: a sequence of '0's

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# **CD-DA: Eight-to-Fourteen Modulation**

### Restricted laser resolution

 Requires a minimal distance between transitions (pit to land, land to pit): at least two "0"s between two "1"s

# Generation (adaptation) of the clock signal is driven by transitions

Requires a maximal distance between transitions (pit to land, land to pit): not more than 10 consecutive "0"

## => Eight-to-Fourteen Modulation

- An 8 bit data value is encoded using 14 bits
- 267 combinations fulfill the criteria above, 256 are chosen. Criterion: efficient implementation with a small number of gates.

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# **CD-DA: Eight-to-Fourteen Modulation**

# Example from the code conversion table

:	00000001	00000000	data bits
:	10000100000000	01001000100000	channel bits

**Concatenation** of independent 14 bit values could lead to a violation of:

- minimum distance of 2 bits between Ones
- maximum distance of 10 bits between Ones
- => three additional merging (filling) bits

# CD-DA: Eight-to-Fourteen Modulation Example

Audio Bits	0000000 0000001
Modulation Bits	01001000100000 1000010000000
Filling Bits	0 1 0
Channel Bits	010010010001000001001000010000000
On the CD-DA	On the CD-DA I pppIII ppppIIIII pppIIIII ppppppp

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### CD-DA: Error Handling

#### Typical Errors

- Scratches, dust, fingerprints
- "Burst errors"

Two-level Reed-Solomon code with frame interleaving ("Cross Interleaved Reed Solomon Code"):

- First level: byte level, EDC and ECC. Two groups, each with four correction bytes for 24 data bytes:
- 1st group: correction of single byte errors
- 2nd group: correction of double byte errors, detection of further errors
- Second level: frame interleaving
- frame: 588 channel bits = 24 audio data bytes
- distribution of consecutive data bytes and corresponding ECC bytes over adjacent frames

# Error rate: $10^{-8}$ (~ 1 bit in 100 million bits (!)

- Exact correction of 4000 data bits possible
- 4000 data bits \* 0.3 µm/channel bit
- hence: burst errors within 2.5 mm can be corrected
   With interpolation: Up to 12,300 data bits (~ 7 mm)

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#### **CD-DA: Frames**

### Each frame consists of

#### Data

two groups of 12 audio data bytes each (actual data)

## Error detection and correction code

- two groups of four parity bytes
- Computed according to the Reed-Solomon code

### Control&display byte

- Together with control&display bytes of other frames it forms the subchannel stream.
- Example: subchannel byte for track start identification

### Synchronization pattern

- Start of a frame
- 12 x "1" + 12 x "0" + 3 merging bits = 27 bits

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### **CD-DA: Data Streams**

## Audio bit stream ~ 1.41 x 106 bit/s:

- 44,1 kHz sampling frequency ~ 1411200 bit/s
- 16-bit stereo PCM
- uniform quantization

## Data bit stream ~ 1.94 x 10<sup>6</sup> bit/s:

- Audio bit stream
- + parity bytes
- + control&display byte

## Channel bit stream ~ 4.32 x 10<sup>6</sup> bit/s:

- Data bit stream
- + EFM
- + merging bits
- + synchronization pattern

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#### **CD-DA: Areas**

- Lead-in area
- Table of content
- Pointer to the start of each track
- Program area
- Up to 99 tracks of different lengths
- Typically one track relates to one song
- Lead-out area

## Random Access supported via

- Tracks
- Index points
- IP<sub>0</sub>: start of track
- IP<sub>1</sub>: start of audio data
- Track pregap: part between IP<sub>0</sub> and IP<sub>1</sub>

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### 5.6 CD-ROM: Compact Disc **Read Only Memory**

specification became the base for a family of optical typical errors caused by damage or dust. The CD-DA **CD-DA** provides a suitable means for the handling of

### But not conceived for:

- video (different ECC, EDC scheme required)
- discrete data (error rate too high)
- simultaneous play back of various media

# For computers there is a need for storage of:

Data, audio, compressed audio and video

## The Yellow Book CD-ROM Standard

- CD-ROM mode 1: for any data
- CD-ROM mode 2: for compressed audio and video
- But cannot be combined on a single track

### Within a single track:

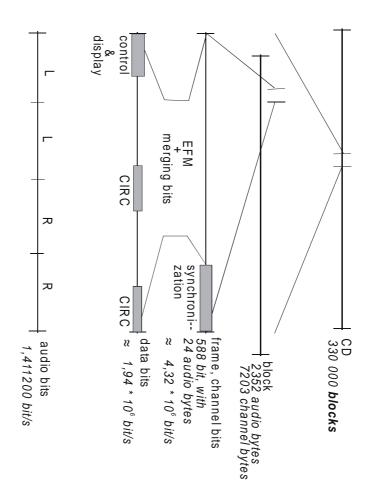
Only CD-DA audio or only CD-ROM specific data

### Mixed Mode Disc:

- Data tracks at the beginning
- Subsequent tracks for audio data

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### **CD-ROM: Structure**



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### **CD-ROM: Structure**

## Fine granularity for random access

- Tracks and Index Points not sufficient
- Structure with a higher resolution: the block
- Blocks contain a fixed number of frames

#### Disk structure

- 1 block = 32 frames
- 75 blocks/s (for a single-speed CD-ROM)
- 1411200 bit/s / 75 blocks/s / 8bit/byte = 2352 bytes/block

#### Allows for

- Random access
- Better EDC, ECC

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#### **CD-ROM Mode 1**

$\frac{\downarrow}{}$			2352 byte		1
ECC	blanks	EDC	user data	header	sync
276	8	4	2048	4	12

### 1 block = 2352 bytes:

- Header bytes include minutes, seconds, block number, mode
- Error rate = 10 -12

- Capacity:Max. 74 min x 60 s/min x 75 block/s = 333000 blocks
- 333000 blocks/CD ~ 650 MByte (user data)

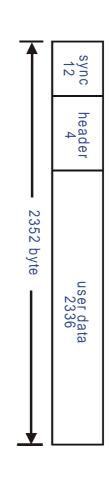
#### Data rate:

2048 byte/block x 75 block/ s ~150 KByte/s (single-speed)

# Used by most CD-ROM applications, but

simultaneous reading of audio and other data in CD-ROM mode 1 not possible

### **CD-ROM Mode 2**



#### Capacity:

 333000 blocks x 2336 bytes/block = 777888000 bytes ~ 741.85 MByte

#### Data rate:

2336 byte/block x 75 block/s = 171 KByte/s (single-speed)

blocks Problem: concatenation of mode 1 and mode 2

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## **CD-ROM: Average Access Time**

## Time to position a block/sector

- Synchronization time: Adapt internal clock to disc signal
- Range of milliseconds
- Seek time: Adaptation of laser to radius
- about 100 ms
- Rotation delay (for constant linear velocity):
- Find sector within one rotation
- Adapt disk speed
- for 40 x CD devices (with 9000 rotations per minute) ~ 6.3 ms

## Access time (also) depends on

- actual and desired position of the head (distance)
- cache strategies of the device

The actual average access time may be about 100 ms (with data caching).

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### CD-ROM: File System

### Original (early) CD-ROM

- No logical file format
- No directory specification

### High Sierra Proposal

- Developed by a group of industry representatives
- Initial file system later lead to ISO 9660

### ISO 9660 file standard

- Directory tree: information about files
- Path table:
- List of all directories & direct access to files at any level
- File interleaving

#### First track

- 16 blocks (sectors 0 to 15): system area
- Volume descriptors in subsequent blocks with e.g. the length of file system

#### Logical block size

- between 512 bytes and 2048 bytes (in steps of 2i)
- blocks of 512 bytes, 1024 bytes, and 2048 bytes are used
- Files begin at logical block start

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### 5.7 CD-ROM/XA: CD-ROM Extended Architecture

#### History

- Philips N.V., Sony and Microsoft (announcement in 1988)
- An extension of the Yellow Book standard

# Goal: Simultaneous transfer of various media data

- Based on CD-ROM mode 2, ISO 9660, CD-I
- Interleaving of blocks of different media within the same track
- Definition of a new type of track used for:
- compressed audio (ADPCM) and video data
- images, text, programs
- Distinction between two block formats: Form 1,

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## **XA: Extended Architecture**

#### Drawbacks

- Compatibility to audio and video compression
- For some media only reference to standard
- MPEG audio not compatible (MPEG does not use ADPCM)
- Interleaved storage of data of different types in the same track:
- Requires special disc layout
- Requires effective interleaving with a choice of the suitable audio level
- Complex application development

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## CD-ROM/XA (Mode 2) Form 1

DC	ක්	user da	sub-header	header	sync
4		2048	8	4	12

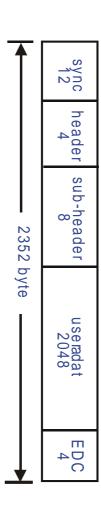
#### Subheader:

- Specification of CD-ROM Mode 2 XA Format type
- 8 bytes long

**Improved error handling** for text and program data with 4 bytes for error detection and 276 bytes for error correction.

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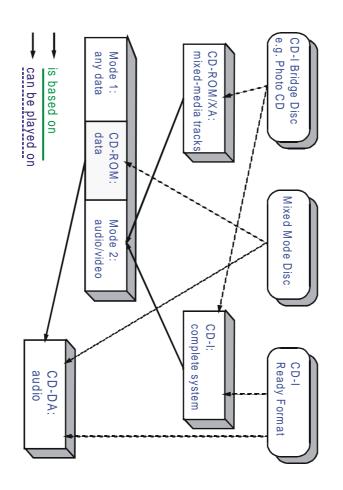
## CD-ROM/XA (Mode 2) Form 2



- Storage of compressed data (incl. audio, video)
- Only 4 bytes for error detection, no error correction
- 13% more data bytes

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# 5.8 Further CD-ROM-based Developments



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# Overview of Further Developments

#### **Further standards**

- Directly based on the CD-ROM mode 2 standard
- CD-ROM/XA
- allows for mode 1 and mode 2 blocks in the same track
- CD-I (CD Interactive)
- a complete multimedia system

### **Compatibility formats**

Formats that can be played on multiple players

• CD-I Bridge Disc: CD-ROM/XA and CD-I

players

Mixed Mode Disc: CD-ROM and CD-DA

players

CD-I Ready Format: CD-I and CD-DA players

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# Photo Compact Disc: Example of a CD Bridge Disc

# Purpose: Storage of photos of high quality

#### History

- Eastman Kodak and N.V. Philips Company
- 1990 announcement of the Kodak Photo CD system

#### Characteristics

- Based on CD Write Once (CD-WO)
- Readable with:
- Photo CD players
- CD-I players
- CD-ROM/XA players
- Written by:
- Special Photo CD writers and CD-WO writers

#### Capabilities

- New professional and private application areas
- Simultaneous display of several images
- Image editing

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## Photo Compact Disc: ImagePac

#### **Production**

- Photos are taken with conventional cameras
- Digitized with 8 bits for the luminance component and 8 bits for each of the two chrominance components
- Written on CD

## Image resolution of a Photo CD:

6.144	4.096	compressed	64-Base
3072	2048	compressed	16base
1536	1024	compressed	4base
768	512	uncompressed	base
384	256	uncompressed	base/14
192	128	uncompressed	base/16
columns			
number of	number of lines	compr./uncompr. number of lines	type of image

#### Per photo

- ImagePac at five different resolutions: hierarchical coding
- About 3 to 6 MByte storage per ImagePac

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# 5.9 CD-WO: Compact Disc Write Once

Defined in the Orange Book Standard Part II

### A "raw" CD-WO has:

- a pre-grooven track
- an absorption layer between the substrate and the reflective layer

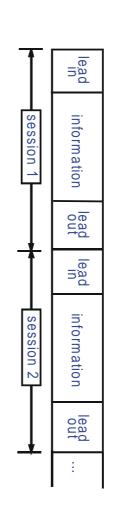
**Recording:** an irreversible change of the reflection characteristics by heating up the absorption layer ("burning")

The CD-WO can be played in CD-DA players.

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### **CD-WO: Sessions**

## Disc layout with several sessions



#### Sessions

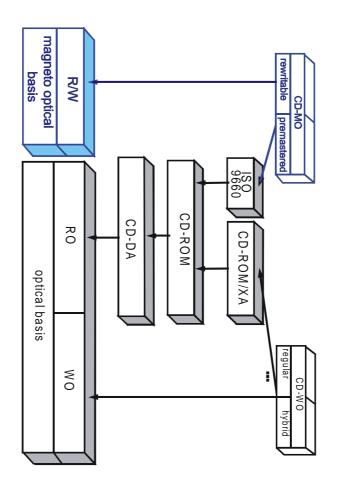
- Burning can be done in several sessions each with:
- Lead-in part
- Data part
- Lead-out part
- Maximum: 99 sessions

#### Note

- CD players older than 1992 can only read the first session
- Regular CD-WO: only one session
- Hybrid CD-WO: several sessions

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# 5.10 CD-MO: Compact Disc Magneto Optical



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## **CD-MO: Features and Principles**

# Definition in the Orange Book Standard Part I

- High capacity (double-sided): about 650 MByte
- Data transfer rate: about 1.2 Mbit/s

#### **Features**

- write data
- read data
- erase data
- rewrite data

# Principles of the magneto-optical technique

- Write:
- Heat up the blocks
- Apply about 10 x earth magnetic field
- Polarization of single elements
- Erase:
- Use a constant magnetic field
- Simultaneously heat up the block
- Read:
- Polarization of light is influenced by magnetic characteristics

# 5.11 DVD: Digital Video Disk

Also known as: "Digital Versatile Disk"

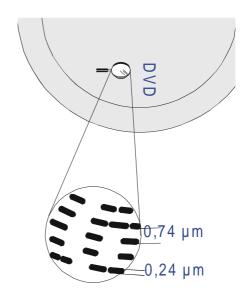
Goal: to create a new optical medium to store an entire high-quality digital movie on one disk.

#### Formats

- single-sided single-layer
- single-sided double-layer: laser must switch focus to read the other layer
- double-sided: disk must be flipped over to read the other side

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## **DVD - Technical Overview**



## CD-like optical storage medium

## Capacity considerably higher than CD

- pits and lands shorter
- tracks more narrow

## **EFM PLUS error correction scheme**

- more robust than the CD scheme
- maps 8 bits of data to 16 bits (encoded), no need for merging bits

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#### CD vs. DVD

	CD	DVD
Media diameter	120 mm	120 mm
Media thickness	1,2 mm	1,2 mm
Wavelength of laser	780 nm	650 and 635 nm (red)
light	(infrared)	
Track distance	1,6 µm	0,74 µm
Min. pit / land length	0,83 µm	0,4 µm
Data layers		1 or 2
Sides		1 or 2
oity	ca. 650 MB	38 GB
		ca. 7.95 GB (DLSS)
		ca. 8.75 GB (SLDS)
		ca. 15.9 GB (DLDS)
Video data rate	ca. 1,5 Mbit/s	1-10 Mbit/s (var.)
Video compression standard	MPEG-1	MPEG-2
Video capacity	ca. 1 h	between 2 and 8 h
		(depending on format)
Sound tracks	2-channel	2-channel PCM
	MPEG audio	5.1-chanel AC-3
		optional: up to 8 data
		streams
Subtitles	1	up to 32 languages

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#### **DVD: Variants**

# **DVD Read Only Specification (DVD-ROM, Book A):**

 Storage medium with high capacity, successor of the CD-ROM

# DVD Video Specification (DVD-Video, Book B):

 Special application of the DVD for the distribution of "linear" video streams

# **DVD Audio Specification (DVD-Audio, Book C):**

 Special application of the DVD for the distribution of pure audio data, similar to the CD-DA

# **DVD Recordable Specification (DVD-R, Book D):**

Variant of the DVD that allows to record once

# •DVD Rewriteable Specification (DVD-RW, Buch E):

 Variant of the DVD that allows to record several times. Also called DVD-RAM (Random Access Memory)

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# **DVD: Physical Disk Configurations**

	4,8	SL	DS	12	RAD-
	2,4	SL	SS	12	RXD-
	2,3	SL	DS	8	DVD-R
	1,15	SL	SS	8	DVD-R
	7,38	SL	DS	12	DVD-R
	3,68	SL	SS	12	DVD-R
ca. 2.5 h video	4,95	DL	DS	8	DVD-4*
ca. 1.4 h video	2,72	SL	DS2	8	DVD-3*
ca. 1.3 h video	2,48	DL	SS	8	DVD-2*
ca. 1/2 h video	1,36	SL	SS	8	DVD-1*
> 8 h video	15,9	DL	DS	12	DVD-18
ca. 4.5 h video	8,75	SL	DS	12	DVD-10
ca. 4 h video	7,95	DL	SS	12	DVD-9
>2 h video	4,38	SL	SS	12	DVD-5
Remarks	Capacity (GB)	Layers per side	Sides	Diameter (cm)	Name

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